

No.: /2025/TĐBV-TTTH

Hanoi, dated , June, 2025

**SUMMARY OF SHAREHOLDERS REGISTER OF BAOVIET HOLDINGS**  
**AS AT 20/05/2025***(based on the consolidated list as at 20<sup>th</sup> May, 2025 provided by the Vietnam Securities  
Depository and Clearing Corporation - VSDC)***To: Ho Chi Minh City Stock Exchange**

Baoviet Holdings (Stock code: BVH) respectfully submits the summary of the Shareholder Register of Baoviet Holdings as at 20<sup>th</sup> May, 2025 with the following details:

**I. SHAREHOLDING STRUCTURE****1. Shareholding structure on type of shareholder basis**

No.	Category	Share Quantity	Ownership Ratio (%)	Number of Shareholders	Shareholder Structure	
					Org.	Ind.
1	State shareholders (*)	504,664,200	67.98%	2	2	0
2	Founding shareholders/ FDI shareholders (**)	482,509,800	65.00%	1	1	0
3	Major shareholders (holding 5% of shares or more) (***)	646,455,221	87.09%	2	2	0
4	Labor Union	231,556	0.03%	1	1	0
5	Treasury shares	0	0.00%	0	0	0
6	Other shareholders	73,481,587	9.90%	10,691	140	10,549
<b>Total (1+3-2+4+5+6)</b>		<b>742,322,764</b>	<b>100.00%</b>	<b>10,695</b>	<b>146</b>	<b>10,549</b>
In which: - Domestic		542,303,660	73.05%	10,031	71	9,960
- Foreign		200,019,104	26.95%	664	75	589

**Note:**

(\*): including the Ministry of Finance and SCIC.

(\*\*): including the Ministry of Finance.

(\*\*\*): including the Ministry of Finance and Sumitomo Life.

Baoviet Holdings, formerly known as Vietnam Insurance Corporation, is a state-owned enterprise that was equitized and transformed into a joint stock company as of October 15<sup>th</sup>, 2007, in accordance with Decision No. 5064/QĐ-BTC dated December 26<sup>th</sup>, 2005 by the Ministry of Finance, and Decision No. 310/2005/QĐ-TTg dated November 28<sup>th</sup>, 2005 by the Prime Minister approving the equitization plan of Vietnam Insurance Corporation and the pilot establishment of Baoviet Finance – Insurance Group. Baoviet Holdings was granted the first Business Registration Certificate by the Hanoi Department of Planning and Investment on October 15<sup>th</sup>, 2007; the 15th revision was made on July 15<sup>th</sup>, 2022.

## 2. Shareholding structure on Major Shareholders and Professional Securities Investors (PSIs)

No.	Category	Share Quantity	Ownership Ratio (%)	Shareholders Quantity	Shareholder Structure	
					Org.	Ind.
1	Major shareholders (holding 5% of shares or more, not classified as PSIs)	646,455,221	87.09%	2	2	0
	- Domestic	482,509,800	65.00%	1	1	0
	- Foreign	163,945,421	22.09%	1	1	0
2	PSIs who are major shareholders (holding 5% of shares or more)	0	0.00%	0	0	0
	- Domestic	0	0.00%	0	0	0
	- Foreign	0	0.00%	0	0	0
3	PSIs holding less than 5% of shares	47,745,024	6.43%	97	97	0
	- Domestic	14,449,190	1.95%	43	43	0
	- Foreign	33,295,834	4.49%	54	54	0
<b>TOTAL</b>		<b>694,200,245</b>	<b>93.52%</b>	<b>99</b>	<b>99</b>	<b>0</b>

### Note:

(\*): For professional securities investors (PSIs) who are individual shareholders holding less than 5% of shares, there is insufficient data to identify them. For institutional shareholders holding less than 5% of shares, only commercial banks, foreign bank branches, financial companies, insurance business organizations, securities companies, fund management companies, investment companies/funds, securities investment funds, and international financial institutions are included and defined in Clause 1 Article 11 Security Law 2019.

## 3. Type of ownership

As at May 20<sup>th</sup>, 2025, the total number of shares of Baoviet Holdings is 742,322,764 shares, of which 742,294,577 shares are transferable. The remaining 28,187 shares are also transferable shares purchased by individual employees under Baoviet Holdings' ESOP program, but are currently under lock-up due to early termination of their labor contracts before the scheduled release date.

Category	Restricted Shares Quantity	Transferable Shares Quantity	Total	Ownership Ratio (%)
1	2	3	4=2+3	5
<b>I. Internal shareholders</b>	<b>0</b>	<b>646,570,354</b>	<b>646,570,354</b>	<b>87.10%</b>
1. Board of Directors (*)	0	646,570,340	646,570,340	87.10%
2. Board of Management (**)	0	14	14	0.000002%
3. Supervisory Board	0	0	0	0.00%
<b>II. Treasury shares</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00%</b>

Category	Restricted Shares Quantity	Transferable Shares Quantity	Total	Ownership Ratio (%)
<b>III. Labor Union</b>	<b>0</b>	<b>231,556</b>	<b>231,556</b>	<b>0.03%</b>
<b>IV. Other shareholders</b>	<b>0</b>	<b>95,520,854</b>	<b>95,520,854</b>	<b>12.87%</b>
<b>1. Domestic</b>	<b>0</b>	<b>59,447,171</b>	<b>59,447,171</b>	<b>8.01%</b>
1.1 Individual	0	22,759,307	22,759,307	3.07%
1.2 Organization	0	36,687,864	36,687,864	4.94%
<i>In which: State shareholders (***)</i>	<i>0</i>	<i>22,154,400</i>	<i>22,154,400</i>	<i>2.98%</i>
<b>2. Foreign</b>	<b>0</b>	<b>36,073,683</b>	<b>36,073,683</b>	<b>4.86%</b>
2.1 Individual	0	1,379,260	1,379,260	0.19%
2.2 Organization (****)	0	34,694,423	34,694,423	4.67%
<b>TOTAL</b>	<b>0</b>	<b>742,322,764</b>	<b>742,322,764</b>	<b>100.00%</b>

**Note:**

(\*): Includes 482,509,800 shares held by the Ministry of Finance and 163,945,421 shares held by Sumitomo Life (represented by members of the Board of Directors) and the number of shares personally owned by the members of the Board of Directors.

(\*\*): Members of the Board of Management do not include Chief Executive Officer.

(\*\*\*): Includes shares held by SCIC.

(\*\*\*\*): Restricted shares include only those purchased under the 2019 private placement program by Sumitomo Life.

## **II. LIST OF INTERNAL SHAREHOLDERS**

Information on key members of Baoviet Holdings who act as representatives of the shareholders, including the State shareholders, major shareholders, founding shareholders, and strategic shareholders, is detailed as follows:

No.	Name of Organization / Individual	Business Registration No.	Date of Issuance	Address	Share Quantity	Ownership Ratio (%)
<b>A. State shareholders</b>						
1	Ministry of Finance	A000000001	30/04/1975	No. 28, Tran Hung Dao Street, Phan Chu Trinh Ward, Hoan Kiem District, Hanoi	482,509,800	65.00%
2	State Capital Investment Corporation	0101992921	13/05/2019	117 Tran Duy Hung, Cau Giay, Hanoi	22,154,400	2.98%
<b>Total A</b>					<b>504,664,200</b>	<b>67.98%</b>
<b>B. Major shareholders (holding 5% of shares or more)</b>						

No.	Name of Organization / Individual	Business Registration No.	Date of Issuance	Address	Share Quantity	Ownership Ratio (%)
1	Ministry of Finance	A000000001	30/04/1975	No. 28, Tran Hung Dao Street, Phan Chu Trinh Ward, Hoan Kiem District, Hanoi	482,509,800	65.00%
2	Sumitomo Life Insurance Company	CA6075	29/01/2013	1-4-35 Shiromi, Chuo-ku, Osaka-shi, Osaka, Japan	163,945,421	22.09%
<b>Total B</b>					<b>646,455,221</b>	<b>87.09%</b>
<b>C. Strategic Shareholders</b>						
1	Sumitomo Life Insurance Company	CA6075	29/01/2013	1-4-35 Shiromi, Chuo-ku, Osaka-shi, Osaka, Japan	163,945,421	22.09%
2	State Capital Investment Corporation (SCIC)	0101992921	13/05/2019	117 Tran Duy Hung, Cau Giay, Hanoi	22,154,400	2.98%
<b>Total C</b>					<b>186,099,821</b>	<b>25.07%</b>
<b>D. Founding shareholders</b>						
1	Ministry of Finance	A000000001	30/04/1975	No. 28, Tran Hung Dao Street, Phan Chu Trinh Ward, Hoan Kiem District, Hanoi	482,509,800	65.00%
<b>Total D</b>					<b>482,509,800</b>	<b>65.00%</b>

### III. DETAILED SHAREHOLDINGS OF INTERNAL SHAREHOLDERS

Category	No.	Full Name (including Position)	Restricted Shares	Transferable Shares		Share Quantity	Ownership Ratio (%)
				Rep. Ownership	Priv. Ownership		
<b>A. BOD &amp; Rep. of equity ownership</b>	1	Ms. Tran Thi Dieu Hang - Acting Chairperson of the BOD	0	133,618,098 (*)	0	133,618,098	18.00%
	2	Mr. Nguyen Xuan Viet - Member of BOD, Acting CEO	0	118,771,643 (*)	83,955	118,855,598	16.01%
	3	Mr. Ryota Inami - Member of BOD	0	81,972,710 (**)	0	81,972,710	11.04%
	4	Mr. Igarashi Takafumi - Member of BOD	0	81,972,711 (**)	0	81,972,711	11.04%
	5	Mr. Nguyen Dinh An - Vice Chairman of BOD	0	74,232,277 (*)	9,960	74,242,237	10.00%

Category	No.	Full Name (including Position)	Restricted Shares	Transferable Shares		Share Quantity	Ownership Ratio (%)
				Rep. Ownership	Priv. Ownership		
	6	Mr. Nguyen Quang Phi - Member of BOD	0	51,962,594 (*)	21,202	51,983,796	7.00%
	7	Mr. Nguyen Thua Nhat - Member of BOD	0	51,962,594 (*)	0	51,962,594	7.00%
	8	Mr. Nguyen Hong Tuan	0	51,962,594 (*)	0	51,962,594	7.00%
	9	Mr. Duong Tri Thanh – Independent member of BOD	0	0	0	0	0.00%
	1 0	Ms. Ngo Thi Thu Trang - Independent member of BOD	0	0	2	2	0.00%
	1 1	Mr. Trinh Hong Quang - Independent member of BOD	0	0	0	0	0.00%
<b>Total A</b>			<b>0</b>	<b>646,455,221</b>	<b>115,119</b>	<b>646,570,340</b>	<b>87.10%</b>
<b>B. BOMs does not include BOD</b>	1	Mr. Nguyen Xuan Hoa - Chief Accountant	0		14	14	0.000002%
<b>Total B</b>			<b>0</b>	<b>0</b>	<b>14</b>	<b>14</b>	<b>0.000002%</b>
<b>C. Supervisory Board (SB)</b>	1	Mr. Vu Thanh Hai - Head of SB	0	0	0	0	0.00%
	2	Mr. Dinh Hoai Linh – Supervisory member	0	0	0	0	0.00%
	3	Ms. Duong Thi Thu Thuy - Supervisory member	0	0	0	0	0.00%
<b>Total C</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00%</b>
<b>TOTAL</b>			<b>0</b>	<b>646,455,221</b>	<b>115,133</b>	<b>646,570,354</b>	<b>87.10%</b>

**Note:**

(\*): Representative equity ownership of the Ministry of Finance.

(\*\*): Representative equity ownership of Sumitomo Life.

Baoviet Holdings respectfully submits the above summary information./.

**Recipient:**

- As above;  
- Archives: Admin, Brand & Communication  
Division.

**AUTHORIZED INFORMATION  
DISCLOSER**